

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : James D. BENNETT *et al.* CONFIRMATION NO.: 5239  
PATENT NUMBER : 7,631,343 B1 SERIAL NO.: 09/422,202  
ISSUE DATE : December 8, 2009 FILING DATE: October 19, 1999  
FOR : DOWN-LINE TRANSCRIPTION SYSTEM USING AUTOMATIC TRACKING AND REVENUE  
COLLECTION

**REQUEST FOR CERTIFICATE OF CORRECTION**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The assignee of the above-identified patent, through its attorneys, hereby requests issuance of a Certificate of Correction. A certificate is required to correct the errors as set forth below.

The errors are considered to be the fault of the United States Patent and Trademark Office ("PTO"). Therefore, no fee is required. However, in the event that it is determined that fees are due, the Commissioner is hereby authorized to charge the undersigned's Deposit Account No. 03-3975 (Reference No. 055444-0383645).

**Correction of Assignee's Name**

The present application is assigned to Engate LLC located in New Castle, Delaware. However, the title page of the patent that issued on this application lists the Assignee as Endgate LLC. Accordingly, Item (73) Assignee, should state "**Engate LLC**, New Castle, DE (US)". The undersigned has provided, as an attached **EXHIBIT A**, a copy of the Patent Assignment Abstract of Title showing the assignee as Engate LLC, thus supporting the contention that PTO error resulted in the incorrect spelling of the Assignee's name on the title page of the patent.

**Correction of Related U.S. Application Data**

The Related U.S. Application Data on the title page of the patent that issued on this application is incorrect. Item (60) should be correctly stated as the following:

- "(60) Continuation-in-part of application No. 09/057,650, filed on Apr. 9, 1998, now Pat. No. 5,970,141, which is a continuation of application No. 08/339,771, filed on Nov. 15, 1994, now Pat. No. 5,740,245, which is a continuation of application No. 08/036,488, filed on Mar. 24, 1993, now Pat. No. 5,369,704.
- Continuation-in-part of application No. 09/185,114, filed on Nov. 3, 1998, now Pat. No. 6,023,675, which is a divisional of application No. 08/818,402, filed on Mar. 12, 1997, now Pat. No. 5,949,952, which is a continuation of application No. 08/066,948, filed on May 24, 1993, now Pat. No. 5,878,186, which is a continuation-in-part of application No. 08/036,488, filed Mar. 24, 1993, now Pat. No. 5,369,704.
- (60) Provisional application No. 60/140,760, filed on Jun. 24, 1999."

The undersigned has provided, as an attached EXHIBIT B, a copy of the Filing Receipt mailed January 13, 2000, thus supporting the contention that PTO error resulted in the incorrect listing of the priority applications on the title page of the patent.

**CONCLUSION**

It is respectfully requested that a Certificate of Correction be issued to correct this error.

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

Customer No.  
00909

By



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Dated: November 24, 2010

# EXHIBIT A



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## Assignments on the Web &gt; Patent Query

## Patent Assignment Abstract of Title

***NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.***

## Total Assignments: 3

Patent #: [7631343](#)

Issue Dt: 12/08/2009

Application #: 09422202

Filing Dt: 10/19/1999

Inventors: JAMES D. BENNETT, LAWRENCE M. JARVIS

Title: DOWN-LINE TRANSCRIPTION SYSTEM USING AUTOMATIC TRACKING AND REVENUE COLLECTION

## Assignment: 1

Reel/Frame: [018602/0834](#)

Recorded: 12/08/2006

Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [BENNETT, JAMES D.](#)

Exec Dt: 04/10/2000

[JARVIS, LAWRENCE M.](#)

Exec Dt: 02/10/2000

Assignee: [ENGATE INCORPORATED](#)1302 EAST FOREST AVENUE  
WHEATON, ILLINOIS 60187

Correspondent: WALTER C. LINDER

2200 WELLS FARGO CENTER  
90 SOUTH SEVENTH STREET  
MINNEAPOLIS, MN 55402

## Assignment: 2

Reel/Frame: [019196/0550](#)

Recorded: 04/20/2007

Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [BENNETT, JAMES D.](#)

Exec Dt: 04/10/2000

[JARVIS, LAWRENCE M.](#)

Exec Dt: 02/10/2000

Assignee: [ENGATE INCORPORATED](#)1302 EAST FOREST AVENUE  
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Correspondent: EDUARDO E. DRAKE

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH PA  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402-0938

## Assignment: 3

Reel/Frame: [018702/0217](#)

Recorded: 01/03/2007

Pages: 11

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [ENGATE INCORPORATED](#)

Exec Dt: 12/04/2006

Assignee: [ENGATE LLC](#)ONE CORPORATE COMMONS  
100 WEST COMMONS BOULEVARD, SUITE 435  
NEW CASTLE, DELAWARE 19720

Correspondent: WALTER C. LINDER

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Search Results as of: 11/24/2010 11:14 AM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1[HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

# EXHIBIT B

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/422,202	10/19/99	2766	\$0.00	P99-00-E	21	1	1

JAMES BUCH  
ENGATE INCORPORATED  
1302 E FOREST AVENUE  
WHEATON IL 60187

**COPY**

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAMES D. BENNETT; LAWRENCE M. JARVIS.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF	09/057,650	04/09/98	PAT 5,970,141
WHICH IS A CON OF	08/339,771	11/15/94	PAT 5,740,245
WHICH IS A CON OF	08/036,488	03/24/93	PAT 5,369,704
AND A CIP OF	09/185,114	11/03/98	
WHICH IS A DIV OF	08/818,402	03/12/97	PAT 5,949,952
WHICH IS A CON OF	08/066,948	05/24/93	PAT 5,878,186
WHICH IS A CIP OF	08/036,488	03/24/93	PAT 5,369,704
PROVISIONAL APPLICATION NO.	60/140,760	06/24/99	

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/18/99 \*\* SMALL ENTITY \*\*  
TITLE  
DOWN-LINE TRANSCRIPTION SYSTEM USING AUTOMATIC TRACKING AND  
REVENUE COLLECTION

PRELIMINARY CLASS: 380

DATA ENTRY BY: GREEN, TERESA

TEAM: 08 DATE: 01/13/00



(See reverse for new important information)